

BANK

SEC Marketing Rule Short-Form Disclosure

Below is the SEC marketing rule short-form disclosure that must be delivered at the time of the referral for investment advisory services. Some examples include placing in your emails, meeting invitations and your marketing materials.

Your Bank (“Financial Institution”) provides referrals to financial professionals of LPL Financial LLC (“LPL”) pursuant to an agreement that allows LPL to pay the Financial Institution for these referrals. This creates an incentive for the Financial Institution to make these referrals, resulting in a conflict of interest. The Financial Institution is not a current client of LPL for brokerage or advisory services.

Please visit <https://www.lpl.com/disclosures/is-lpl-relationship-disclosure.html> or scan the QR code below for more detailed information.

